

Practitioner's Docket No. FORE-56

PATENT

## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of: Seth Redmore  
Application No.: 09/356,086  
Filed: July 16, 1999

Group No.: 2134  
Examiner: Norman Wright

Mail Stop 16  
Director of the U.S. Patent and Trademark Office  
P.O. Box 1450  
Alexandria, VA 22313-1450

REQUEST FOR REFUND  
(IMPROPER CHARGE OF DEPOSIT ACCOUNT)

## I. REFUND REQUEST

This is a request for a refund, with respect to the charge to Deposit Account 19-0737, shown on the statement dated April 29, 2005, for the above-identified application.

A copy of the monthly statement, in which the error referred to occurs, accompanies this request.

## II. FEES CHARGED FOR WHICH REFUND REQUESTED

	AMOUNT OF REFUND REQUESTED
Issue fee .....	\$1,400.00
Other fee .....	
Printed copy of patent .....	\$30.00
TOTAL REFUND REQUESTED .....	\$1,430.00

## CERTIFICATE OF MAILING/TRANSMISSION (37 C.F.R. § 1.8(a))

I hereby certify that this correspondence is, on the date shown below, being:

## MAILING

☐ deposited with the United States Postal Service with sufficient postage as first class mail, in an envelope addressed to the Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

## FACSIMILE

☒ transmitted by facsimile to the Patent and Trademark Office, (703) 308-6778.

Tracey L. Klus  
Signature

Date: 6/8/05

Tracey L. Klus  
(type or print name of person certifying)

Request for Refund (Improper Charge of Deposit Account)-page 1 of 2

BEST AVAILABLE COPY

06/08/05 WED 15:41 [TX/RX NO 6819] 001

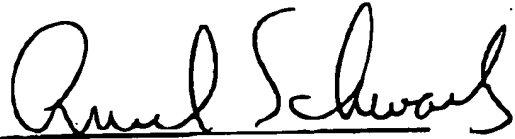
**III. EXPLANATION OF WHY CONTESTED CHARGE IS IN ERROR**

Applicant had enclosed a check with a Petition to Revive which included the issue fee payment, payment of patent copies and a petition fee. See attached copy of filing documents and return receipt card.

**IV. MANNER OF REFUND**

Please make refund by crediting Deposit Account No.: 19-0737.

Date:

6/8/05

Ansel M. Schwartz  
Registration No. 30,587  
Attorney at Law  
201 N. Craig Street  
Suite 304  
Pittsburgh, PA 15213  
412-621-9222

Request for Refund (Improper Charge of Deposit Account)-page 2 of 2

BEST AVAILABLE COPY

06/08/05 WED 15:41 [TX/RX NO 6819] 002



**UNITED STATES PATENT AND TRADEMARK OFFICE**

Commissioner for Patents  
United States Patent and Trademark Office  
P.O. Box 1450  
Alexandria, VA 22312-1450  
[www.uspto.gov](http://www.uspto.gov)

# MONTHLY STATEMENT OF DEPOSIT ACCOUNT

To replenish your deposit account, detach and return top portion with your check. Make check payable to Director of Patents & Trademarks.

ANSEL M SCHWARTZ  
201 ONE STERLING PLAZA  
SUITE 304  
PITTSBURGH PA 15213

**FINA**

Account No.	190737
Date	4-29-05
Page	1

**PLEASE SEND REMITTANCES TO:  
U. S. Patent and Trademark Office  
P.O. Box 70541  
Chicago, IL 60673**

DATE DEPOSITED			FON NO.	INSTRUMENT (Serial, Patent, TM, Order)	DOCKET NO.	FEE CODE	CHARGES/ CREDITS	BALANCE
MO.	DAY	YR.						
4	15	05	4	10274066	SPIN-4	1251	120.00	4221.50
4	26	05	6	09356086	FORE-56	1501	1400.00	2821.50
4	26	05	7	09356086	FORE-56	8001	30.00	2791.50
4	29	05	4	09730195		1251	120.00	2671.50
<p>AN AMOUNT SUFFICIENT TO COVER ALL SERVICES REQUESTED MUST ALWAYS BE ON DEPOSIT</p>								
				OPENING BALANCE	TOTAL CHARGES	TOTAL CREDITS		CLOSING BALANCE
				4341.50	1670.00	0.00		2671.50

AN AMOUNT SUFFICIENT TO  
COVER ALL SERVICES REQUESTED  
MUST ALWAYS BE ON DEPOSIT

OPENING BALANCE	
4341.50	

**TOTAL CHARGES**  
**1670.00**

<b>TOTAL CREDITS</b>	
	<b>0.00</b>

CLOSING BALANCE	
2671.50	

\*\*\* O.D. INDICATES OVERDRAWN

<p>The dating stamp of the Patent Office on this card will be taken as an indication that the accompanying paper was filed.</p> <p>Serial No. <u>09/156,086</u></p> <p>Paper Mailed <u>4/18/05</u></p> <p>Atty's File No. <u>FORE-56</u></p>	<p>PETITION TO REVIVE PATENT APPLICATION-FAILURE TO PAY ISSUE FEE UNINTENTIONAL (37 C.F.R. §§ 1.137(b) AND 1.316) + copy CK. #6592 for \$2,930.00 PART B - FEE(S) TRANSMITTAL + copy</p> <p>2005 APR 21 PM 3:15</p> <p>DIVISION</p>
--	---

BEST AVAILABLE COPY

06/08/05 WED 15:41 [TX/RX NO 8819] 004

Practitioner's Docket No. FORE-56

PATENT

## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of: Seth Redmore

Application No.: 09/356,086

Group No.: 2134

Filed: 07/16/1999

Examiner: Norman Wright

For: HARDWARE BASED SECURITY GROUPS, FIREWALL LOAD SHARING, AND FIREWALL REDUNDANCY

## Mail Stop Petition

Commissioner for Patents

P.O. Box 1450

Alexandria, VA 22313-1450

PETITION TO REVIVE PATENT APPLICATION—FAILURE TO PAY ISSUE  
FEE UNINTENTIONAL (37 C.F.R. §§ 1.137(b) AND 1.316)

1. Applicant hereby petitions for the revival of this application.
2. Nature of the Abandonment

The undersigned has reviewed his/her records and noted that the issue fee for this application was not timely paid.

3. Date of Filing of This Petition After Abandonment

This petition is being filed within 3 months after the applicant was first notified of abandonment.

## CERTIFICATION UNDER 37 C.F.R. §§ 1.8(a) and 1.10\*

(When using Express Mail, the Express Mail label number is mandatory;  
Express Mail certification is optional.)

I hereby certify that, on the date shown below, this correspondence is being:

## MAILING

X deposited with the United States Postal Service in an envelope addressed to the Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

37 C.F.R. § 1.8(a)

X with sufficient postage as first class mail.

37 C.F.R. § 1.10\*

☐ as "Express Mail Post Office to Addressee"

Mailing Label No. \_\_\_\_\_ (mandatory)

## TRANSMISSION

☐ facsimile transmitted to the Patent and Trademark Office, (703) \_\_\_\_\_

Tracey L. Kleas  
Signature

Date: 4/18/05

Tracey L. Kleas

(type or print name of person certifying)

\* Only the date of filing (§ 1.6) will be the date used in a patent term adjustment calculation, although the date on any certificate of mailing or transmission under § 1.8 continues to be taken into account in determining timeliness. See § 1.703(f). Consider "Express Mail Post Office to Addressee" (§ 1.10) or facsimile transmission (§ 1.6(d)) for the reply to be accorded the earliest possible filing date for patent term adjustment calculations.

Petition to Revive Patent Application—Failure to Pay Issue Fee Unintentional—page 1 of 3

BEST AVAILABLE COPY

08/08/05 WED 15:41 [TX/RX NO 6819] 005

4. The delay in payment of the issue fee was unintentional. The entire delay from the due date in paying the required issue fees until the filing of this petition was unintentional. 37 C.F.R. § 1.137(b)(3).

5. Payment of the issue fee (37 C.F.R. § 1.137(b)(1):

Payment of the issue fee is enclosed.

Regular Patent Issue Fee \$1,400.00  
Advance Order (10 copies) \$30.00

6. Petition fee (35 U.S.C. § 41(a)(7) and 37 C.F.R. § 1.17(m))

Application status is:

Other than small entity--fee \$1,500.00  
Petition Fee \$1,500.00

Total issue and petition fee to be paid \$2,930.00

7. Payment of total fee:

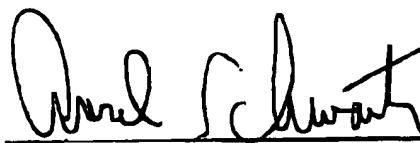
Attached is a check in the amount of \$2,930.00.

A duplicate of this petition is attached.

8. Terminal Disclaimer

This utility/plant application was filed on or after June 8, 1995 and no terminal disclaimer is required.

Date: 4/18/05

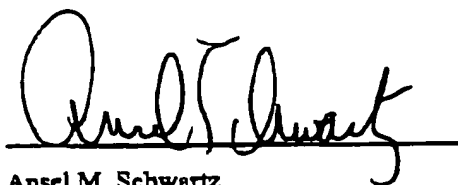


Signature of person making statement that  
abandonment was due to unintentional delay

Ansel M. Schwartz  
201 N. Craig Street, Suite 304  
Pittsburgh, PA 15213

Date:

4/18/05



Ansel M. Schwartz  
Registration No. 30,587  
Attorney at Law  
201 N. Craig Street  
Suite 304  
Pittsburgh, PA 15213  
412-621-9222

Petition to Revoke Patent Application--Failure to Pay Issue Fee Unintentional--page 3 of 3

BEST AVAILABLE COPY

06/08/05 WED 15:41 [TX/RX NO 6819] @007

## PART B - FEE(S) TRANSMITTAL

Complete and send this form, together with applicable fee(s), to: Mail

Mail Stop ISSUE FEE  
Commissioner for Patents  
P.O. Box 1450  
Alexandria, Virginia 22313-1450  
or Fax (703) 746-4000

INSTRUCTIONS: This form should be used for transmitting the ISSUE FEE and PUBLICATION FEE (if required). Blocks 1 through 5 should be completed where appropriate. All further correspondence including the Patent, advance orders and notification of maintenance fees will be mailed to the current correspondence address as indicated unless corrected below or directed otherwise in Block 1, by (a) specifying a new correspondence address; and/or (b) indicating a separate "FEE ADDRESS" for maintenance fee notifications.

CURRENT CORRESPONDENCE ADDRESS (Note: Use Block 1 for any change of address)

7590

01/14/2005

ANSEL M SCHWARTZ  
ONE STERLING PLAZA  
201 N CRAIG STREET SUITE 304  
PITTSBURGH, PA 15213

Note: A certificate of mailing can only be used for domestic mailings of the Fee(s) Transmittal. This certificate cannot be used for any other accompanying paper. Each additional paper, such as an assignment or formal drawing, must have its own certificate of mailing or transmission.

Certificate of Mailing or Transmission  
I hereby certify that this Fee(s) Transmittal is being deposited with the United States Postal Service with sufficient postage for first class mail in an envelope addressed to the Mail Stop ISSUE FEE address above, or being facsimile transmitted to the USPTO (703) 746-4000, on the date indicated below.

Tracey L. Klaas	(Depositor's name)
<i>Tracey L. Klaas</i>	(Signature)
April 18, 2005	(Date)

APPLICATION NO.	FILING DATE	FIRST NAMED INVENTOR	ATTORNEY DOCKET NO.	CONFIRMATION NO.
09/356,086	07/16/1999	SETH REDMORE	FORE-36	7325

TITLE OF INVENTION: HARDWARE BASED SECURITY GROUPS, FIREWALL LOAD SHARING, AND FIREWALL REDUNDANCY

APPLN. TYPE	SMALL ENTITY	ISSUE FEE	PUBLICATION FEE	TOTAL FEE(S) DUE	DATE DUE
nonprovisional	NO	\$1400	\$0	\$1400	04/14/2005
EXAMINER		ART UNIT	CLASS-SUBCLASS		
WRIGHT, NORMAN M		2134	713-201000		

1. Change of correspondence address or indication of "Fee Address" (37 CFR 1.363).
- ☐ Change of correspondence address (or Change of Correspondence Address form PTO/SB/122) attached.
- ☐ "Fee Address" indication (or "Fee Address" Indication form PTO/SB/47; Rev 03-02 or more recent) attached. Use of a Customer Number is required.

2. For printing on the patent front page, list
- (1) the names of up to 3 registered patent attorneys or agents OR, alternatively,
- (2) the name of a single firm (having as a member a registered attorney or agent) and the names of up to 2 registered patent attorneys or agents. If no name is listed, no name will be printed.

Ansel M. Schwartz

3. ASSIGNEE NAME AND RESIDENCE DATA TO BE PRINTED ON THE PATENT (print or type)

PLEASE NOTE: Unless an assignee is identified below, no assignee data will appear on the patent. If an assignee is identified below, the document has been filed for recordation as set forth in 37 CFR 3.111. Completion of this form is NOT a substitute for filing an assignment.

(A) NAME OF ASSIGNEE

Marconi Communications, Inc.

(B) RESIDENCE: (CITY and STATE OR COUNTRY)

Warrendale, Pennsylvania

Please check the appropriate assignee category or categories (will not be printed on the patent): ☐ Individual ☒ Corporation or other private group entity ☐ Government

4a. The following fee(s) are enclosed:

- ☒ Issue Fee
- ☐ Publication Fee (No small entity discount permitted)
- ☒ Advance Order - # of Copies 10

4b. Payment of Fee(s):

- ☒ A check in the amount of the fee(s) is enclosed.
- ☐ Payment by credit card. Form PTO-2038 is attached.
- ☒ The Director is hereby authorized by charge the required fee(s), or credit any overpayment, to Deposit Account Number 19-0737 (enclose an extra copy of this form).

5. Change in Entity Status (from status indicated above)

- ☐ a. Applicant claims SMALL ENTITY status. See 37 CFR 1.27. ☐ b. Applicant is no longer claiming SMALL ENTITY status. See 37 CFR 1.27(g)(2).

The Director of the USPTO is requested to apply the Issue Fee and Publication Fee (if any) or to re-apply any previously paid issue fee to the application identified above.

NOTE: The Issue Fee and Publication Fee (if required) will not be accepted from anyone other than the applicant, a registered attorney or agent, or the assignee or other party in interest as shown by the records of the United States Patent and Trademark Office.

Authorized Signature

*Ansel M. Schwartz*

Date

4/18/05

Typed or printed name

Ansel M. Schwartz

Registration No. 30,587

This collection of information is required by 37 CFR 1.311. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 12 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, Virginia 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, Virginia 22313-1450.

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number.